## GENERAL WEALTHTECH CATEGORIES (US)

### DATA, INFORMATION OR BUSINESS INTELLIGENCE PROVIDER
Awarded to: An information, data or business intelligence provider in the US wealth management space.

### OUTSOURCING AND BUSINESS PROCESS MANAGEMENT
Awarded to: An outsourcing or business process management company working in the US wealth management sector.

### CLIENT MARKETING AND PROSPECTING
Awarded to: A provider of client marketing and/or prospecting services to the US wealth management industry.

### DATA MANAGEMENT AND ANALYSIS
Awarded to: An organization providing data management and/or analysis services to the US wealth management industry.

### DOCUMENT MANAGEMENT AND STORAGE
Awarded to: A company providing document management and/or storage technology to the US wealth management industry.

### FINANCIAL PLANNING
Awarded to: A technology tool provided to the US wealth management sector to enhance and automate financial planning.

### INVESTMENT PLATFROM OR TOOLS
Awarded to: A technology-based investment platform or tools for the US wealth management industry.

### BUSINESS INFRASTRUCTURE
Awarded to: A business providing technology infrastructure support for the US wealth management industry.

### TRADING SYSTEMS
Awarded to: A trading system used by the US wealth management industry.

### SOFTWARE MANAGEMENT COMPANY
Awarded to: A business offering advice and guidance on software management issues to the US wealth management industry.

## GENERAL WEALTHTECH CATEGORIES (US)

### OVERALL US WEALTHTECH PROVIDER
Awarded to: A technology business specialising in wealth management in the US market, offering a comprehensive WealthTech solution.

### FUND ADMINISTRATION
Awarded to: A technological solution tailored specifically for the US fund administration market.

### FAMILY OFFICE SOLUTION
Awarded to: A wealth technology company whose offering is specifically addressing the needs of family offices in the US.

### ONBOARDING PRODUCT
Awarded to: A wealth-management focussed provider operating in the US market that offers onboarding solutions.

### CLIENT LIFECYCLE MANAGEMENT
Awarded to: A technology provider operating in the US market that offers solutions in any aspect of the client journey.

### CRM PROVIDER
Awarded to: A technology business providing client relationship solutions to US wealth management businesses.

### TRANSACTION PROCESSING
Awarded to: A transaction processing solution provider operating in the US wealth management market.

### PORTFOLIO MANAGEMENT
Awarded to: A technology provider offering portfolio management solutions to the US and wealth management market.

### INVESTMENT PLATFORM
Awarded to: An organisation offering investment management technology in the US.

### INNOVATIVE SOLUTION
Awarded to: A technology company whose offering to the US wealth management market is viewed as challenging the status quo.

### STRUCTURED PRODUCTS SOLUTION
Awarded to: A company whose structured products or service provision to the US wealth management market is viewed as both innovative and best in class.

### SUITABILITY
Awarded to: A provider of client suitability solutions in the US wealth management market.

### FRONT OFFICE
Awarded to: A provider of any front office solution to the US wealth management market.

### BLOCKCHAIN & CRYPTOCURRENCIES SOLUTION
Awarded to: A solution provider to the US wealth management market that uses blockchain technology and/or cryptocurrencies as part of its offering.

### DATA PROVIDER
Awarded to: A provider of data services to the US wealth management market.

### PAYMENT RECONCILIATION SERVICES
Awarded to: A provider of payment reconciliation services to the US wealth management market.

### RISK MONITORING AND MANAGEMENT
Awarded to: A provider of risk monitoring and/or management services to the US wealth management market.

### CLIENT/CONSOLIDATED REPORTING
Awarded to: A client reporting solution provider to the US wealth management market.

### DATA PROTECTION SOLUTION
Awarded to: A data protection solution provider to the US wealth management market.

### CORE BANKING SOFTWARE
Awarded to: A provider of core banking technology for the US wealth management market.
<table>
<thead>
<tr>
<th>Categories</th>
<th>Criteria</th>
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</thead>
<tbody>
<tr>
<td><strong>CYBER &amp; NETWORK SECURITY</strong> Awarded to: A solution that helps counter the threat posed by cyber crime and network security breaches to the wealth management community in the US.</td>
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<tr>
<td><strong>ROBO PRODUCT OR PLATFORM</strong> Awarded to: A platform, product or solution that reduces human interaction in the wealth management process in the US.</td>
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<tr>
<td><strong>RIA PLATFORM</strong> Awarded to: A bank or custodian providing a platform on which RIAs can service their clients.</td>
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<tr>
<td><strong>ARTIFICIAL INTELLIGENCE (AI) APPLICATION</strong> Awarded to: A technology company that uses Artificial Intelligence as all or part of its offering to the US wealth management market.</td>
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<tr>
<td><strong>NEWCOMER/START-UP</strong> Awarded to: A technology business that is in early stage development and has an offering for the US wealth management market.</td>
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<td><strong>CLIENT COMMUNICATION SOLUTION OR TOOL</strong> Awarded to: A solution provider that enables or enhances communications between wealth managers and their clients in the US.</td>
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<tr>
<td><strong>DIGITAL OFFERING OR TOOL</strong> Awarded to: A business that enables US wealth managers to leverage digital channels and/or media to better interact with their clients.</td>
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<td><strong>CLIENT ACCOUNTING</strong> Awarded to: A solution that provides client accounting solutions for the US wealth management market.</td>
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<tr>
<td><strong>EAM PLATFORM/CUSTODIAN</strong> Awarded to: A bank or custodian providing a platform on which external asset managers (FIMs) in the US can service their clients.</td>
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<tr>
<td><strong>BILL PAY</strong> Awarded to: Technology-based bill payment solutions in the US wealth management sector.</td>
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<tr>
<td><strong>DATA AGGREGATION</strong> Awarded to: Technology solution providers specializing in tools for wealth managers and financial institutions to facilitate financial data aggregation.</td>
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<tr>
<td><strong>REGTECH &amp; COMPLIANCE CATEGORIES (US)</strong></td>
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<tr>
<td><strong>INNOVATIVE COMPLIANCE/REGTECH PRODUCT</strong> Awarded to: A compliance or regulation technology solution for the US wealth management market that can demonstrate a new approach or significant innovation.</td>
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<td><strong>KYC PRODUCT</strong> Awarded to: A technology provider that delivers a know your customer solution for the US wealth management community.</td>
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<tr>
<td><strong>REGULATORY REPORTING</strong> Awarded to: A technology provider that delivers a regulatory reporting solution for the US wealth management community.</td>
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<tr>
<td><strong>DATA GOVERNANCE</strong> Awarded to: A technology provider that delivers a data governance solution for the US wealth management community.</td>
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<td><strong>WEALTHTECH CONSUL TANCY &amp; TRAINING CATEGORIES (US)</strong></td>
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<td><strong>CONSULTANCY</strong> Awarded to: A consultancy active in the US wealth management market.</td>
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<td><strong>OUTSOURCING/PARTNERSHIP</strong> Awarded to: A consultancy for its work in outsourcing and/or partnerships with US wealth management businesses.</td>
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<td><strong>THOUGHT LEADERSHIP</strong> Awarded to: A consultancy for its thought leadership for the US wealth management industry.</td>
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<tr>
<td><strong>TRAINING SOLUTION</strong> Awarded to: A consultancy for its work in training for the US wealth management industry.</td>
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<tr>
<td><strong>IMPLEMENTATION/PARTNER</strong> Awarded to: A consultancy for its work in implementing a technology solution for an US wealth management business.</td>
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<tr>
<td><strong>INDIVIDUAL &amp; LEADERSHIP WEALTHTECH CATEGORIES (US)</strong></td>
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<tr>
<td><strong>GROWTH/BUSINESS DEVELOPMENT STRATEGY/ACQUISITION</strong> Awarded to: A wealth technology business for its strategy in the US.</td>
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<tr>
<td><strong>THOUGHT LEADERSHIP (NON CONSULTANCY)</strong> Awarded to: A wealth technology business for its thought leadership in the US.</td>
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<tr>
<td><strong>WEALTHTECH EMPLOYER</strong> Awarded to: A wealth technology provider active in the US market.</td>
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<td><strong>CHIEF TECHNOLOGY OFFICER (CTO)</strong> Awarded to: An individual working with an organisation active in the US market.</td>
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<tr>
<td><strong>REGIONAL MANAGEMENT TEAM/CEO</strong> Awarded to: A local management team from a technology company active in the US.</td>
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<tr>
<td><strong>INNOVATOR OF THE YEAR</strong> Awarded to: An individual, business or team that can demonstrate genuine innovation in wealth management technology in the US.</td>
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<tr>
<td><strong>DIVERSITY</strong> Awarded to: A wealth technology business for its commitment to diversity in the US.</td>
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</tbody>
</table>
Awards Categories & Criteria

United States, Canada & Latin America

LEADING INDIVIDUAL
Awarded to: An outstanding individual for their work in the wealth technology sector in the US.

WOMEN IN WEALTHTECH
Awarded to: An outstanding woman for their work in the wealth technology sector in the US.

MARKETING TEAM/PR
Awarded to: A marketing or PR team for their work in the wealth technology sector in the US.

LIFETIME ACHIEVEMENT
Awarded to: An individual to recognise their outstanding achievement over a substantial career in wealth management technology in the US.

RECRUITER
Awarded to: A wealth technology business active in the US for its ability to recruit and retain talent in the market.

GENERAL WEALTHTECH CATEGORIES (CANADA)

FAMILY OFFICE SOLUTION
Awarded to: A wealth technology company whose offering is specifically addressing the needs of family offices.

FUND ADMINISTRATION
Awarded to: A technological solution tailored specifically for the Canadian fund administration market.

OVERALL WEALTHTECH PROVIDER
Awarded to: A technology business specialising in wealth management in the Canadian market, offering a comprehensive WealthTech solution.

INNOVATIVE CLIENT SOLUTION
Awarded to: A technology company whose offering to the Canadian wealth management market is viewed as challenging the status quo.

REGTECH CATEGORY - CANADA

INNOVATIVE COMPLIANCE/REGTECH PRODUCT
Awarded to: A compliance or regulation technology solution for the Canadian wealth management market that can demonstrate a new approach or significant innovation.

CONSULTANCY CATEGORY (CANADA)

CONSULTANCY SERVICES
Awarded to: A consultancy active in the Canadian wealth management market.

INDIVIDUAL & LEADERSHIP CATEGORY (CANADA)

LEADING INDIVIDUAL
Awarded to: An outstanding individual for their work in the wealth technology sector in Canada.

GENERAL WEALTHTECH CATEGORIES (LATIN AMERICA)

FAMILY OFFICE SOLUTION
Awarded to: A wealth technology company whose offering is specifically addressing the needs of family offices.

FUND ADMINISTRATION
Awarded to: A technological solution tailored specifically for the LatAm fund administration market.

OVERALL WEALTHTECH PROVIDER
Awarded to: A technology business specialising in wealth management in the LatAm market, offering a comprehensive WealthTech solution.

INNOVATIVE CLIENT SOLUTION
Awarded to: A technology company whose offering to the LatAm wealth management market is viewed as challenging the status quo.

REGTECH CATEGORY - LATIN AMERICA

INNOVATIVE COMPLIANCE/REGTECH PRODUCT
Awarded to: A compliance of regulation technology solution for the LatAm wealth management market that can demonstrate a new approach or significant innovation.

CONSULTANCY CATEGORY (LATIN AMERICA)

CONSULTANCY SERVICES
Awarded to: A consultancy active in the LatAm wealth management market.

INDIVIDUAL & LEADERSHIP CATEGORY (LATIN AMERICA)

LEADING INDIVIDUAL
Awarded to: An outstanding individual for their work in the wealth technology sector in Latin America.

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The Inaugural WealthTech Americas Awards 2022